

2921

SEP 28 1998

PTENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: application of: Ebner et al.

Application Serial No.: 09/115,832

Group Art Unit: TBA

Filed: July 15, 1998

Examiner: TBA

For: Interleukin-20

Attorney Docket No.: PF399

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner For Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

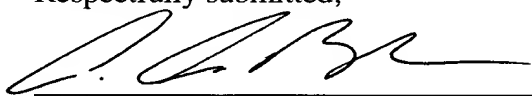
Sir:

In response to the Notice to File Missing Parts mailed August 26, 1998, and for which a response is being timely filed (August 26, 1998 = Saturday), Applicants submit herewith an executed Declaration for Patent Application (in three counterparts), for entry into the above-referenced application. A copy of the aforementioned Notice is also enclosed.

The Commissioner is hereby authorized to charge the required fee of \$130.00, and any other fee deemed necessary, to Deposit Account No. 08-3425. A duplicate of this sheet is enclosed.

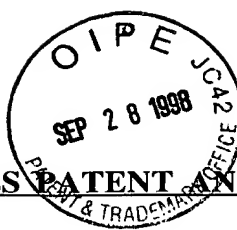
Respectfully submitted,

Dated: 9/28/98

  
A. Anders Brookes (Reg. No. 36,373)  
Attorney for Applicants

**Human Genome Sciences, Inc.**  
9410 Key West Avenue  
Rockville, Maryland 20850  
Telephone: 301-610-5761

Enclosures: Duplicate of this paper  
Executed Declaration and Power of Attorney (6 pages)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Serial No.: 09/115,832

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For: Interleukin-20

Attorney Docket No.: PF399

**RESPONSE TO NOTICE TO COMPLY**

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to Comply mailed on August 26, 1998, and for which a response is being timely filed (August 26, 1998 = Saturday), Applicants submit under separate cover herewith the following:

- 1) Amendment in Response to Notice to Comply;
- 2) Statement Under 37 C.F.R. 1.821 and 1.825; and
- 3) a substitute paper copy of sequence listing and computer-readable

diskette containing same.

A copy of the aforementioned Notice is also enclosed.

Applicants believe that no fee is required for this submission. However, should a fee be due, please charge such fee to Deposit Account No. 08-3425. A duplicate of this page is enclosed.

Respectfully submitted,

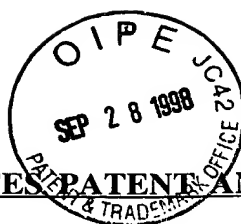
Dated: 9/28/98

A. Anders Brookes  
Attorney for Applicants

Reg. No. 36,373

**Human Genome Sciences, Inc.**  
9410 Key West Avenue  
Rockville, Maryland 20850  
Telephone: 301-610-5761

Enclosure: duplicate of this page  
MMW/JJK/lcc



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: application of: Ebner et al.

Serial No.: 09/115,832

Group Art Unit: TBA

Filed: July 15, 1998

Examiner: TBA

For: Interleukin-20

Attorney Docket No.: PF399

#21

**AMENDMENT IN RESPONSE TO NOTICE TO COMPLY**

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to Comply mailed on August 26, 1998, applicants submit under separate cover herewith the following:

- 1) a Statement Under 37 C.F.R. 1.821 and 1.825;
- 2) a substitute paper copy of sequence listing; and
- 3) a computer-readable diskette containing same.

Please replace the sequence listing (pages 1-7) as originally filed with the sequence listing submitted herewith (pages 1-7).

Applicants believe that no fee is required for this submission. However, should a fee be due, please charge such fee to Deposit Account No. 08-3425. A duplicate of this page is enclosed.

Respectfully submitted,

Dated: 9/28/98

A. Anders Brookes  
Attorney for Applicants

Reg. No. 36,373

**Human Genome Sciences, Inc.**  
9410 Key West Avenue  
Rockville, Maryland 20850  
Telephone: 301-610-5761

Enclosure: duplicate of this page  
MMW/JJK/lcc



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/115,832	07/15/98	EBNER	R PF399

HUMAN GENOME SCIENCES INC  
9410 KEY WEST AVENUE  
ROCKVILLE MD 20850

0242/0826



NOT ASSIGNED

1646

**BEST AVAILABLE COPY**

DATE MAILED:

08/26/98

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application fails to comply with the requirements of 37 CFR 1.821 - 1.825.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☒ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. OTHER:

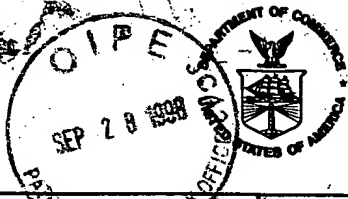
**APPLICANT MUST PROVIDE:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing."
- ☐ An initial or substitute paper copy of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

**FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CONTACT:**

- ☐ For Rules Interpretation, call (703) 308-1123.
- ☒ For CRF submission help, call (703) 308-4212.
- ☐ For PatentIn software help, call (703) 308-6856.

MA Day / Unit 4  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/115,832	07/15/98	EBNER	R PF399

0242/0826

10/01/1998 ASILLIAN GENEOME SCIENCES INC  
01 FC:105 9410 KEY WEST AVENUE  
ROCKVILLE MD 20850

NOT ASSIGNED

1646

**BEST AVAILABLE COPY**

DATE MAILED:

08/26/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202